

**We offer an efficient and flexible approach to fund services, tailoring our support to meet your specific requirements.**

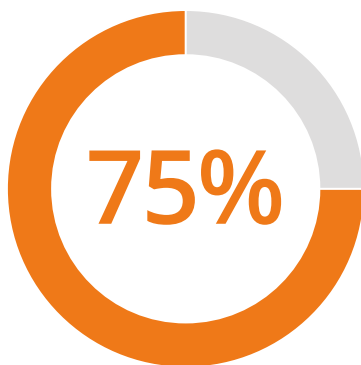
## About Apex Abu Dhabi

We are regulated and authorised to provide fund administration services by the Securities and Commodities Authority (“SCA”) and the Abu Dhabi Global Market (“ADGM”) Financial Services Regulatory Authority (“FSRA”). With offices both onshore and offshore, we cover the entire universe of regulated funds in Abu Dhabi.

## Why Apex Abu Dhabi?

- A local market leader: We were the first administrator to be licensed by both SCA and FSRA
- Actively involved in the local asset management industry since 2013
- Strategically located in the United Arab Emirates (“UAE”) to deliver expert local knowledge
- Part of the wider Apex Group, the office delivers a true international service to clients

## Why Abu Dhabi?



of the total UAE's AuM can be found in Abu Dhabi

## We provide services to:

- UAE domiciled funds regulated by SCA and ADGM
- Non UAE domiciled funds located in Cayman, Bermuda, and British Virgin Islands
- Insurance companies (portfolio accounting and management information system)
- End of Service Benefits (EOSB) Fund/Schemes

## Our experienced team are able to assist with the following requirements and any client specific requests:

- Co-ordination of the launch of a fund under the classification you require
- Liaison between promoter, lawyers, regulators, tax advisors, and auditors
- Provision of experienced directors
- Compliance services including KYC, FATCA, and CRS
- Corporate governance and company secretarial
- Review of constitutional documents
- Drafting operating procedures
- Comprehensive fund administration and investor services solutions
- Fund accounting and net asset value (NAV) calculations
- Preparation of financial statements and co-ordination of the audit process
- Middle office services
- Middle office co-sourcing services for fund managers

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## Apex and the jurisdiction

Over four decades, Abu Dhabi-based institutions have earned their position as major players in the global financial services arena; managing substantial assets and pursuing international growth strategies.

We have significantly enhanced the ability of our clients to provide best practice fund administration to their investors. With the help of local market knowledge, experience in the industry, and a global network of contacts we can fully service managers in international time zones and domiciles, across all relevant strategies and asset classes. The importance of the ability to work with clients on a local level whilst speaking the local language cannot be underestimated, which is why we ensure we offer clients the option to provide documents in Arabic.

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## Tax and legal

There is currently no capital gains tax in the UAE. For taxpaying entities (mostly oil and gas trading entities), capital gains are taxed as a part of business profits. There are currently no withholding taxes or tax on personal income in the UAE. The judicial system is derived from the civil law system and Sharia law.

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## Abu Dhabi's place within the industry

Abu Dhabi has a progressive agenda in all areas of educational and human development. The city intends to develop its position in international finance, in partnership with the world's leading financial centres, to continue developing a sustainable economy that will prosper after oil and gas production comes to an end.

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## Why Apex Group?

We are dedicated to driving positive change in financial services while supporting the growth and ambitions of asset managers, allocators, financial institutions, and family offices. For over two decades, we have continually disrupted the industry through our investment in innovation and talent. Today, we set the pace in fund and asset servicing and stand out for our unique single-source solution and unified cross asset-class platform which supports the entire value chain, harnesses leading innovative technology, and benefits from cross-jurisdictional expertise delivered by a long-standing management team and over 13,000 highly integrated professionals.

\*Apex Group has capability to provide services into 50 countries from 42 regulated jurisdictions

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## Regulation

The SCA is responsible for the regulation, supervision and development of the financial services industry in the UAE. Since its inception in 2000, the SCA has made notable efforts to lay the foundations of UAE's financial and commodities markets infrastructure. Great investment has been put into promoting investors' confidence and providing opportunities for investing in funds. Investment in the region is based upon a fair system that ensures the safety and accuracy of transactions in these markets. As a result, the SCA has become a major pillar of economic and financial stability in the country and the focus of investors' attention at home and abroad.

ADGM's FSRA was established to advocate a progressive financial services environment by managing any potential risks exposure and undesirable impact. FSRA's policies and the adherence to ADGM's rules and regulations, which are modelled on and consistent with internationally recognised standards, have been set to ensure that financial entities operate with certainty, market participants thrive in an equal level-playing environment, and the best interests of investors are safeguarded.

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## Scale gives us perspective, gives you reach

**\$3.4trn+**  
Assets serviced

**13,000+**  
Employees

**20+**  
Years in business

**50**  
Countries\*

