

Apex India Services for Alternative Investment Funds (AIFs)



Why India?

- One of the fastest growing countries in the world
- Projected to be 3rd largest economy by 2030
- Vibrant and growing investment destination for private equity and hedge funds
- Abundant pool of talent and business professionals
- GIFT City – India's fast growing international financial services center in Gandhinagar, Gujarat is expected to be a major driver for the investment industry

About Apex India

- 3700+ experienced professionals
- 7 locations, including Mumbai, Pune, Bangalore and GIFT City
- Apex Group is a leading service provider for alternatives
- Service capabilities across fund administration, SPV administration, accounting, financial reporting, corporate services and transfer agency
- Access to additional services and expertise from the wider Apex Group such as ESG reporting for PE funds, look-through reporting, accounting, FX services, depository, global custody, banking, brokerage, corporate services and US tax reporting
- Access to the latest market leading technologies such as Investran, Efront, Paxus and Geneva
- Convenient time zone location

About Apex Group

- Providing the broadest range of solutions in the industry, through a single relationship
- No matter where you are located or what stage in your business lifecycle – we support the growth and ambitions of our clients
- With an entrepreneurial spirit at our core we strive to inspire through doing things differently
- Our purpose, outside of an excellent client experience, is to drive positive change for a more sustainable future



\$3 trn

Assets Serviced, ranking in top 2 globally

India Alternative Investment Funds (AIFs)

- The fastest growth in Investment Industry in past 5 years
- 50% compounded annual growth rate ("CAGR") as of FY22
- AIF is a type of privately pooled investment vehicle governed by Securities & Exchange Board of India ("SEBI") AIF regulations
- AIFs allow a wide range of investment options across social impact, venture capital, private equity and hedge funds with pre-defined minimum investment amounts

India offices



Scale gives us perspective, gives you reach

Established in
2003

Over
13,000
employees globally

Over
85
locations

in
39
countries

Speaking
32
languages

in
14
time zones

Apex India offers an efficient and flexible approach to both domestic and GIFT City AIFs, tailoring its support to meet the specific requirements of each individual client.

Strengths and Capabilities

Transfer Agency

- Investor onboarding and KYC/AML checks
- Investor services, communication, and reporting
- Capital calls and distributions
- Subscription processing and reconciliation
- Dividend and payments processing and reconciliation
- Secure cloud-based Investor Portal
- FATCA and CRS services
- Demat of AIF Units/ISIN creation
- Stamp duty payments

Fund Accounting

- Supports multiple funds/schemes set up
- Support for family investment funds accounting and reporting
- Multi-currency, multi-asset class and multi-geography
- Stock/bank reconciliation
- Integrated general ledger
- Trade capture and settlements
- Corporate actions processing
- Capital processing - accounting
- Portfolio valuation and NAV processing - any frequency
- Income and expense management
- Detailed reporting suite

Corporate Solutions

- Legal entity set up
- SPV administration
- Maintenance of statutory records, registers and secretarial documentation
- Preparing paperwork for director meetings and/or general meetings
- Submission of notices and relevant regulatory filings
- Periodic and event-based compliances, including change in directorships, change in charter, capital infusion and more

Compliance & Regulatory Reporting

- New fund registration
- Registration on Financial Intelligence Unit ("FIU-IND") platform
- LEI and GIIN registration
- Creation of entity master and business user on RBI FIRMS Portal
- Registration with SEBI registered KYC Registration Agency ("KRA")
- Registration with CERSAI for KYC update
- Filing of quarterly return related to Tax Deducted at Source ("TDS")
- Filing of Form 64 as per Income Tax Act
- Filing of periodic GST returns
- Filing of Form InVI in terms of Schedule VIII of FEMA NDI Rules, 2019
- KYC Status verification from KRA database

AIF Reporting Pack

- NAV reporting pack
- Performance reporting
- Schedule of investments reports
- General ledger, trial balance, cash flow reports, etc
- Partners allocation/ shareholder allocation reports
- IFSCA compliance and regulatory reporting
- Financial reporting as per Indian AS, US GAAP, IFRS as applicable
- Multi-currency reporting
- Audit support
- Other reports may be tailored as required

Giving you a choice: Technologies



Contacts



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