

The Cayman Islands is one of the leading jurisdictions globally for the set up of investment funds. It is home to the majority of global new offshore fund formations.

Our experienced team offers a range of corporate services, covering all stages of the entity lifecycle.

We also provide a full suite of end-to-end fund and financial solutions with a relationship manager to take on all coordination.

Apex Fund Services (Cayman) Ltd is regulated by the Cayman Islands Monetary Authority ("CIMA").

## Why Cayman for offshore fund formations?

- Strong legal system based on English common law
- Tax neutrality
- A stable government and economy
- Flexible and robust regulatory framework
- Proven track record
- Cayman is globally acknowledged for adhering to international standards and plays a leading role in advocating best practice

## Why Apex Cayman?

- Team of highly experienced professionals to assist on all services throughout the lifecycle of the investment fund
- We provide a single point of contact for your fund
- 24/7 client relationship management coverage
- Regulated with CIMA
- All Directors registered with CIMA
- Competitive fees
- Offering corporate, fund, financial and ESG solutions as part of Apex Group's single-source offering

## About Apex Group

- Providing the broadest range of solutions in the industry, through a single relationship
- No matter where you are located or what stage in your business lifecycle – we support the growth and ambitions of our clients
- With an entrepreneurial spirit at our core we strive to inspire through doing things differently
- Our purpose, outside of an excellent client experience, is to drive positive change for a more sustainable future

## Typical fund vehicles we set-up

Exempted Company	Limited Liability Company ("LLC")
Special Purpose Vehicle ("SPV")	Exempted Limited Partnership
Segregated Portfolio Company ("SPC")	Unit Trust

## Company Formation

- Acting as a formation agent for a company including arranging the registration, formation of companies or providing for the initial subscribers to the memorandum and articles of association
- Acting as a nominee shareholder for a company
- Acting as, fulfilling the function of, or arranging for another person to act as/fulfil the function of an authorized custodian
- Providing other corporate services involving the control of the whole or a substantial part of the assets of a company

## Registered Office

- Providing a registered office for the company
- Providing correspondence or administrative address for the company
- Filing statutory forms, resolutions, annual returns and notices
- Maintenance of the minute book of a company, to include copies of the certificate of incorporation, board resolutions, shareholder resolutions, the memorandum and articles of association of a company and other constitutional documents

## Compliance Services: MLRO, Deputy MLRO, AML Officer

- Provision of a natural person with the necessary qualifications to serve as the AMLCO for the fund and provide the oversight and services required under the Cayman AMLRs for both investors and the investment activities of the fund
- Provision of natural persons to serve the fund as MLRO and DMLRO for your fund to investigate and potentially report on suspicious activity to the relevant competent regulators
- Business Risk Assessments
- AML/CFT audits

## Trust Services

We provide a full range of trust services including:

- A trustee
- Trust formation
- Accounting, bookkeeping and asset reporting
- Family Office services
- Maintenance of bank accounts and investment portfolios
- Protector services (sometimes called a guardian)

## Voluntary Liquidations

- We provide voluntary liquidation services to all Cayman Islands registered entities including exempted funds, limited partnerships, SPVs, registered funds and limited liability companies

## Corporate Secretarial

- Acting as secretary of a company
- Arranging board meetings, taking minutes, preparation of resolutions and dispatching relevant notices
- Ensuring that the minute book of a company includes copies of all notices, minutes and resolutions of meetings of the directors and shareholders and other correspondence
- Assisting with the certification of company documentation, including a company's register of directors and other documentation as may be required by third parties
- Assisting in adhering to CIMA's corporate governance best practices

## Custody Solutions

Fund of funds custody services as nominee and performing middle office functions such as:

- Maintaining online service platform for client fund order and reporting
- Completion of all trade related documentation and trade processing
- Instructing wire settlement and paying fund expenses
- Addressing all AML, Tax requirements and queries
- Collecting all NAV statements and updating valuations

Traditional safekeeping of exchange traded securities through our subsidiary European Depository Bank as well as partnership with Citi

## Fund Governance

- We can provide a Non-Executive Director to the Board of Directors
- Our Directors are all registered with the CIMA and have diverse experience and backgrounds to suit the needs of the Board
- Directors ensure compliance with CIMA's Corporate Governance and Internal Control Rules and best practices
- A Non-Executive Director enhances a fund offering and provides comfort to investors and the overall governance oversight

## SPV Administration Services

- We are a leading provider of services to capital markets transactions, from Special Purpose Vehicle ("SPV") administration to a comprehensive suite of Trust, Agency and Escrow services
- We support both the syndicated loan, corporate bond and securitisation markets

- Our liquidation team has extensive experience in fund accounting, regulatory compliance and local law, and will ensure the successful winding up of investment vehicles